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Rev. 03/29/02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Karen M. Grace et al.

Docket No.: S-97,794

Serial No.: 10/052,862

Examiner:

Filed : November 9, 2001

Art Unit:

For : WAVEGUIDE-BASED OPTICAL
CHEMICAL SENSOR



Box Missing Parts
Commissioner for Patents
Washington, DC 20231

COMPLETION OF FILING REQUIREMENTS

- I. ☒ The following is a reply to the Notice to File Missing Parts of Nonprovisional Application (PTO-1533) dated April 4, 2002.
- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted (Form PTO-1533) is enclosed.
- ☒ A submission of substitute drawings as required by the Notice to File Missing Parts of Nonprovisional Application dated April 4, 2002 is enclosed.

DECLARATION OR OATH

- II. ☒ No original declaration or oath was filed and enclosed is the original declaration or oath for this application. (Two sets are enclosed).
- ☐ The original declaration or oath which was filed was determined to be defective. A new original oath or declaration is attached.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the :
Commissioner for Patents, Washington, DC 20231.

FACSIMILE

☐ transmitted by facsimile to the
United States Patent and Trademark
Office

Date May 31, 2002

Samuel L. Borkowsky
Signature

Samuel L. Borkowsky
(type or print name of person certifying)

2002090-2885001

Attached is a

- ☐ Statement by a registered attorney that the application filed in the PTO is the application which the inventor executed by signing the declaration.
- ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

AMENDMENT CANCELING CLAIMS

- III. ☐ Cancel claims _____.

SMALL ENTITY STATUS

- IV. ☒ Applicant is entitled to small entity status.
- ☐ Applicant is other than a small entity.

COMPLETION FEES

- V. 1. Filing fee
- ☐ original patent application 37 CFR 1.16(a))-
\$740.00; Small entity-\$370.00 \$ _____
2. Fees for claims
- ☐ each independent claim in excess of 3
(37 CFR 1.16(b))- \$84.00; small entity-\$42.00 \$ _____
- ☐ each claim in excess of 20 (37 CFR 1.16(c))-
\$18.00; small entity-\$9.00 \$ _____
- ☐ multiple dependent claim(s) (37 CFR 1.16(d))-
\$280.00; small entity-\$140.00 \$ _____
3. Surcharge fees
- ☐ late payment of filing fee
- and/or
- ☒ late filing of original declaration or oath
(37 CFR 1.16(e))- \$130.00; small
entity-\$65.00 \$ 65.00

4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor (37 CFR 1.47)-\$130.00 \$_____

TOTAL COMPLETION FEES\$ 65.00

EXTENSION OF TIME FEES
(complete (a) or (b) as applicable)

VI. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$200.00
<input type="checkbox"/> three months	\$ 920.00	\$460.00
<input type="checkbox"/> four months	\$1,440.00	\$720.00

EXTENSION OF TIME FEE

\$ _____

- ☐ An extension for _____ months has already been secured and the fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

EXTENSION FEE DUE WITH THIS REQUEST

\$ _____

or

- (b) ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VII. The total fee due is

Completion fee(s) \$ _____
Extension fee (if any) \$ _____

TOTAL FEE DUE\$ 65.00

VIII.

- ☐ enclosed is a check in the amount of \$ _____
☒ charge Account No. 12-2150 in the amount of \$ 65.00

A duplicate of this transmittal is attached.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

IX.

☐ The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the pendency of this application to Account No. 12-2150.

☐ 37 CFR 1.16(a), (f), or (g) (filing fees)

☐ 37 CFR 1.16(b), (c), or (d) (presentation of extra claims)

☐ 37 CFR 1.17 (application processing fees)

X. As to any overpayment made please

☒ Credit to Account No. 12-2150.
☐ Send refund check.

Respectfully submitted,

Date: May 31, 2002

Samuel L. Borkowsky
Signature of Agent

Reg. No. 42,346
Phone (505) 665-3111

Samuel L. Borkowsky
Los Alamos National Laboratory
LC/IP, MS A187
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D C 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/052,862	11/09/2001	Karen M. Grace	S-97,794

Samuel L. Borkowsky
~~Gemma Morrison Bennett~~
 Los Alamos National Laboratory
 LC/IP, MS A187
 Los Alamos, NM 87545



CONFIRMATION NO. 1762

FORMALITIES LETTER



OC000000007798085

Date Mailed: 04/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because.
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*

06/11/2002 ZJUHR1 00000035 122150 10052862
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Handwritten signature

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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